JC10 Rec'd PCT/PTO 14 APR 2005

PTO-1390 (Rev. 12-2004)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTTORNEY'S DOCKET NUMBER

DK-US055070

CONCERNING A SUBMISSIO	U.S. APPLICATION NO. 71 2 3 CFR 1.5)							
INTERNATIONAL APPLICATION NO. PCT/JP2003/013117	INTERNATIONAL FILING DATE 14 October 2003	PRIORITY DATE CLAIMED 16 October 2002						
TITLE OF INVENTION VARIABLE INNER VOLUME RATIO-MODE INVERTER SCREW COMPRESSOR (As amended)								
APPLICANT(S) FOR DO/EO/US Nozomi GOTOU et al.								
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:								
1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.								
2. This is a SECOND or SUBSEQUENT s	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.							
This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.								
4. The US has been elected (Article 31).	The US has been elected (Article 31).							
A copy of the International Application as filed (35 U.S.C. 371(c)(2))								
a. is attached hereto (required only if not communicated by the International Bureau).								
b. 🚺 has been communicated by the International Bureau.								
c. is not required, as the applic	c. is not required, as the application was filed in the United States Receiving Office (RO/US).							
An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).								
a.  is attached hereto.	a. 🗸 is attached hereto.							
b. has been previously submitted under 35 U.S.C. 154(d)(4).								
7. Amendments to the claims of the Inte	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))							
a. are attached hereto (requi	a. are attached hereto (required only if not communicated by the International Bureau).							
b. have been communicated	b. have been communicated by the International Bureau.							
c. have not been made; how	c. have not been made; however, the time limit for making such amendments has NOT expired.							
d. A have not been made and w	d. 🗸 have not been made and will not be made.							
An English language translation of the	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).							
9. An oath or declaration of the inventor	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).							
10. An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).							
Items 11 to 20 below concern document(s) or information included:								
11. An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.							
12. An assignment document for recording	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.							
13. A preliminary amendment.								
14. An Application Data Sheet under 37 (	An Application Data Sheet under 37 CFR 1.76.							
15. A substitute specification.	A substitute specification.							
16. A power of attorney and/or change of	A power of attorney and/or change of address letter.							
17. A computer-readable form of the sequence	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.							
18. A second copy of the published Interr	A second copy of the published International Application under 35 U.S.C. 154(d)(4).							
19. A second copy of the English language	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).							
20. Other items or information: (1) Form PC	Other items or information: (1) Form PCT/ISA/210; (2) PCT/IB/304; (3) PCT/IB/308							

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## JC13 Rec'd PCT/PTO 14 APR 2005

PTO-1390 (Rev. 12-2004)
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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.				ATTORNEY'S DOCKET NUMBER			
	10/531	294	PCT/JP2003/013117	,	DK-US055070		
	ng fees are submitted:			0.0			
a) Basic na	ational fee	••••••		\$300.00	\$		
b) Examination fee\$200.00					\$		
✓ c) Search fee					\$		
	TOTAL OF ABOVE CAI	LCULATION	/S =	\$1000.00	\$ 1,000.00		
listing or co	ee for specification and d mputer program listing file 0 sheets of paper or fract						
Total Sheets	Extra sheets		per of each additional 50 or fraction reof (round <b>up</b> to a whole number)				
23 - 100 =	0 /50 =			x \$250.00	\$		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					\$		
CLAIMS	NUMBER FIL	.ED	NUMBER EXTRA	RATE	\$		
Total claims	2	- 20 =	0	x \$50.00	\$		
Independent clai	ims 1	- 3 =	0	× \$200.00	\$	<u></u>	
MULTIPLE DEP	ENDENT CLAIM(S) (if ap	plicable)		+ \$360.00	\$		
TOTAL OF ABOVE CALCULATIONS =				CULATIONS =	\$		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.					\$		
SUBTOTAL =					\$		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					\$		
TOTAL NATIONAL FEE =					\$		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$ 40.00		
TOTAL FEES ENCLOSED =					\$ 1,040.00		
					Amount to be refunded:	\$	
					Amount to be charged:	\$	
a. A chec	ck in the amount of \$ 1,0	040.00	to cover the above fe	ees is enclosed.			
b. Please charge my Deposit Account No. 50-1836 in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.							
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 50-1836. A duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
SEND ALL CORRESPONDENCE TO:							
Shinjyu Global IP Counselors, LLP SIGNATURE							
1233 Twentieth Street, NW, David L. Tar					noff		
Suite 700, Washington, D.C. 20036							
Customer	Customer No.: 22919 32,383 REGISTRATION NUMBER						
				REGISTRATIO	ON NUMBER		

**PATENT** 

DK-US055070

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Nozomi GOTOU et al.

Serial No.: New - (National Phase of

PCT/JP2003/013117)

Filed: Herewith

For: VARIABLE INNER VOLUME RATIO-MODE:

INVERTER SCREW COMPRESSOR

(AS AMENDED)

## **SUBMISSION OF TRANSLATION**

Assistant Commissioner of Patents Washington, DC 20231

Sir:

Applicants submit herewith an English translation of the International Patent Application No. PCT/JP2003/013117, which includes sixteen (16) pages of specification and seven (7) sheets of drawings.

The attached document represents a true and complete English translation of International Patent Application No. PCT/JP2003/013117.

Respectfully submitted,

David L. Tamoff Reg. No. 32,383

SHINJYU GLOBAL IP COUNSELORS, LLP 1233 Twentieth Street, NW, Suite 700 Washington, DC 20036 (202)-293-0444

Dated: 4-14-05

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